



TABLE 5-21

NIH and NSF research grant applications and funding success rates: 2001–16

(Number and percent)

Agency	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
NIH																
Proposals	21,967	22,212	24,634	27,461	28,423	29,097	27,325	26,648	26,675	27,850	28,781	29,626	28,044	27,502	28,970	30,106
Awards	6,965	6,799	7,430	6,991	6,463	6,037	6,456	6,116	5,924	6,217	5,380	5,436	4,902	5,163	5,467	6,010
Success rates (%)	32	31	30	26	23	21	24	23	22	22	19	18	17	19	19	20
NSF																
Proposals	23,096	25,241	28,676	31,553	31,574	31,514	33,705	33,643	35,609	42,225	41,840	38,490	39,249	38,882	40,869	41,034
Awards	6,218	6,722	6,846	6,509	6,258	6,708	7,415	6,999	10,011	8,639	7,759	8,061	7,652	7,923	8,993	8,782
Success rates (%)	27	27	24	21	20	21	22	21	28	20	19	21	19	20	22	21

NIH = National Institutes of Health; NSF = National Science Foundation.

Note(s)

Available data vary by agency and are not directly comparable with one another. NIH data shown are for R01-equivalent grants, calculated according to the NIH success rate definition, which counts initial grant applications and resubmitted grant applications received in the same fiscal year as one application (see https://report.nih.gov/success_rates/index.aspx). NIH grant applications exclude grants funded by the American Recovery and Reinvestment Act of 2009 (ARRA). NSF data shown are based on research grant applications received and are counted in the fiscal year in which the award or decline action was taken. NSF data include ARRA grants.

Source(s)

National Institutes of Health, Office of Extramural Research, Office of the Director; National Science Foundation, Office of Budget, Finance, and Award Management.

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